

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE (REV. 12-2004)		ATTORNEY'S DOCKET NUMBER 710.1010
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) To be assigned 10/532890
INTERNATIONAL APPLICATION NO. PCT/EP2003/010511	INTERNATIONAL FILING DATE 20 September 2003	PRIORITY DATE CLAIMED 29 October 2002
TITLE OF INVENTION PREDICTION OF THE DEGREE OF DELIVERY RELIABILITY IN SERIAL PRODUCTION		
APPLICANT(S) FOR DO/EO/US Martin DAFERNER		
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ul style="list-style-type: none"> a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409). 12. <input checked="" type="checkbox"/> A copy of the International Search Report (PCT/ISA/210). <p>Items 13 to 23 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 14. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A power of attorney and/or change of address letter. 19. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 20. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 21. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 22. <input checked="" type="checkbox"/> Express Mail Label No. EV 568 473 722 US 23. <input checked="" type="checkbox"/> Other items or information: <p>Application Data Sheet, Letter re. Priority</p>		

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U.S. APPLICATION NO. (If known, see 37 CFR 1.65) 107532890 Tr assigned		INTERNATIONAL APPLICATION NO. PCT/EP2003/010511		ATTORNEY'S DOCKET NUMBER 710.1010																						
<p>24. The following fees are submitted:</p> <table> <tr> <td><input checked="" type="checkbox"/></td> <td>a) Basic national fee</td> <td>\$300.00</td> <td>Applicant use</td> <td>Office use</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>b) Examination fee</td> <td>\$200.00</td> <td>\$</td> <td>\$200.00</td> </tr> <tr> <td><input checked="" type="checkbox"/></td> <td>c) Search fee</td> <td>\$500.00</td> <td>\$</td> <td>\$500.00</td> </tr> <tr> <td colspan="3">TOTAL OF ABOVE CALCULATIONS =</td> <td colspan="2">\$1000.00</td> <td>\$</td> </tr> </table> <p><input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.</p>						<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	Applicant use	Office use	<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$	\$200.00	<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$	\$500.00	TOTAL OF ABOVE CALCULATIONS =			\$1000.00		\$
<input checked="" type="checkbox"/>	a) Basic national fee	\$300.00	Applicant use	Office use																						
<input checked="" type="checkbox"/>	b) Examination fee	\$200.00	\$	\$200.00																						
<input checked="" type="checkbox"/>	c) Search fee	\$500.00	\$	\$500.00																						
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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole)		RATE																						
- 100 =	/50 =			x \$250.00	\$ \$0.00																					
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).																										
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																							
Total claims	18	- 20 = 0	x \$50.00	\$	\$0.00																					
Independent claims	4	- 3 = 1	x \$200.00	\$	\$200.00																					
MULTIPLE DEPENDENT CLAIMS (if applicable)			<input type="checkbox"/>	+ \$360.00	\$ \$0.00																					
TOTAL OF ABOVE CALCULATIONS =			\$1,200.00																							
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.																										
SUBTOTAL = \$1,200.00																										
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).																										
TOTAL NATIONAL FEE = \$1,200.00																										
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property + \$ \$40.00																										
TOTAL FEES ENCLOSED = \$1,240.00																										
Amount to be refunded:																										
Amount to be charged:																										
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$1,240.00 to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is _____.</p> <p>c. <input checked="" type="checkbox"/> The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0552. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																										
SEND ALL CORRESPONDENCE TO:																										
DAVIDSON, DAVIDSON & KAPPEL, LLC																										
485 Seventh Avenue																										
14th Floor																										
New York, NY 10018																										
(212) 736 1940																										
 SIGNATURE William C. Gehris NAME 38,156 REGISTRATION NUMBER																										

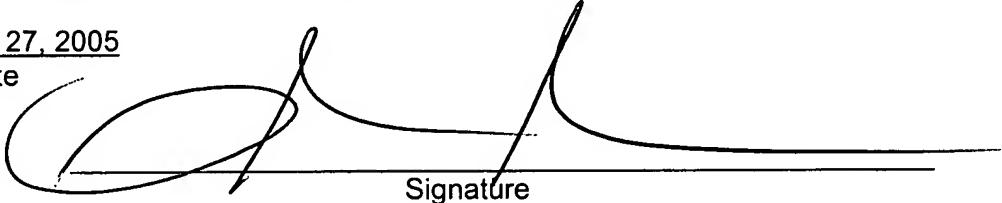
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Re.: Docket No.: 710.1010
Applicant(s): Martin DAFERNER

Serial No.: To be assigned

Invention: PREDICTION OF THE DEGREE OF DELIVERY RELIABILITY IN SERIAL PRODUCTION

Filing Date: Herewith as national phase of International Patent Application No. PCT/EP2003/010511, filed September 20, 2003

- Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 U.S.C 371 (2 pages);
- Copy of Int. Patent Application PCT/EP2003/010511 w/ Int. Search Report (47 + 8 pages);
- Translation of Int. Patent Application PCT/EP2003/010511 (30 pages);
- Ten (10) Sheets of translated drawings;
- Preliminary Amendment (14 pages);
- Copy of executed Declaration and PoA (1 page);
- Copy of executed assignment and recordation form cover sheet (3 pages);
- Application Data Sheet (2 pages);
- Letter re: Priority (1 page);
- Information Disclosure Statement with PTO-1449 (3 pages) and copies of cited references;
- Return Receipt Postcard;
- Check over \$1240.00

This collection of information is required by 37 CFR 1.10. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.8 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.